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PAUL MANKIN, individually and on behalf of all others similar situated, Plaintiff, vs. MOUNTAIN WEST RESEARCH CENTER, L.C., and DOES 1 through 10, inclusive, and each of them, Defendants. Paul MANKIN, individually and on behalf of all others similar situated, Plaintiff, vs. MOUNTAIN WEST RESEARCH CENTER, L.C., and DOES 1 through 10, inclusive, and each of them, Defendants. Defendants. Case No. 2:13-cv-06447-DSF-AGR CLASS ACTION PLAINTIFF'S NOTICE OF AND MOTION FOR ATTORNEYS' FEES AND COSTS AND CLASS REPRESENTATIVE INCENTIVE PAYMENT Date: January 12, 2015 Time: 1:30 p.m. Ctrm: 840 Assigned to the Honorable Dale S. Fischer Action Filed on September 3, 2013 [Filed and Served Concurrently with Declaration of John P. Kristensen; [Proposed] Order]		9	UNITED STATES DISTRICT COURT					
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Attorneys for Plaintiff UNITED STATES DISTRICT COURT FOR THE CENTRAL DISTRICT OF CALIFORNIA PAUL MANKIN, individually and on behalf of all others similar situated, Plaintiff, Vs. Plaintiff, Vs. MOUNTAIN WEST RESEARCH CENTER, L.C., and DOES 1 through 10, inclusive, and each of them,, Defendants. Defendants. Assigned to the Honorable Dale S. Fischer Action Filed on September 3, 2013 [Filed and Served Concurrently with Declaration of John P. Kristensen; [Proposed] Order]		6	Telephone: 310-507-7924					
Telephone: 310-507-7924 Fax: 310-507-7906 Attorneys for Plaintiff UNITED STATES DISTRICT COURT FOR THE CENTRAL DISTRICT OF CALIFORNIA PAUL MANKIN, individually and on behalf of all others similar situated, Plaintiff, vs. Plaintiff, vs. MOUNTAIN WEST RESEARCH CENTER, L.C., and DOES 1 through 10, inclusive, and each of them,, Defendants. Defendants. Defendants. Telephone: 310-507-7924 Fax: 310-507-7906 Attorneys for Plaintiff UNITED STATES DISTRICT COURT Case No. 2:13-cv-06447-DSF-AGR PLAINTIFF'S NOTICE OF AND MOTION FOR ATTORNEYS' FEES AND COSTS AND CLASS REPRESENTATIVE INCENTIVE PAYMENT Date: January 12, 2015 Time: 1:30 p.m. Ctrm: 840 Assigned to the Honorable Dale S. Fischer Action Filed on September 3, 2013 [Filed and Served Concurrently with Declaration of John P. Kristensen; [Proposed] Order]		5	·					
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david@kristensenlaw.com KRISTENSEN WEISBERG, LLP 12304 Santa Monica Blvd., Suite 100 Los Angeles, CA 90025 Telephone: 310-507-7924 Fax: 310-507-7906 Attorneys for Plaintiff UNITED STATES DISTRICT COURT FOR THE CENTRAL DISTRICT OF CALIFORNIA PAUL MANKIN, individually and on behalf of all others similar situated, Plaintiff, vs. Plaintiff, vs. HOUNTAIN WEST RESEARCH CENTER, L.C., and DOES 1 through 10, inclusive, and each of them., Defendants. Defendants. Defendants. CLASS ACTION PLAINTIFF'S NOTICE OF AND MOTION FOR ATTORNEYS' FEES AND COSTS AND CLASS REPRESENTATIVE INCENTIVE PAYMENT Date: January 12, 2015 Time: 1:30 p.m. Ctrm: 840 Assigned to the Honorable Dale S. Fischer Action Filed on September 3, 2013 [Filed and Served Concurrently with Declaration of John P. Kristensen; [Proposed] Order]		2	l ' '					
John@kristensenlaw.com DAVID L. WEISBERG (SBN 211675) david@kristensenlaw.com KRISTENSEN WEISBERG, LLP 12304 Santa Monica Blvd., Suite 100 Los Angeles, CA 90025 Telephone: 310-507-7924 Fax: 310-507-7926 Attorneys for Plaintiff UNITED STATES DISTRICT COURT FOR THE CENTRAL DISTRICT OF CALIFORNIA PAUL MANKIN, individually and on behalf of all others similar situated, Plaintiff, Vs. Plaintiff, Vs. MOUNTAIN WEST RESEARCH CENTER, L.C., and DOES 1 through 10, inclusive, and each of them, Defendants. Defendants. CLASS ACTION PLAINTIFF'S NOTICE OF AND MOTION FOR ATTORNEYS' FEES AND COSTS AND CLASS REPRESENTATIVE INCENTIVE PAYMENT Date: January 12, 2015 Time: 1:30 p.m. Ctrm: 840 Assigned to the Honorable Dale S. Fischer Action Filed and Served Concurrently with Declaration of John P. Kristensen; [Proposed] Order]		1	IOIN D VDICTENCEN (CDN 22/122)					

12304 Santa Monica Blvd., Suite 100 Los Angeles, California 9002? Kristensen Weisberg, LLP

TO ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that on January 12, 2015, at 1:30 p.m., before the United States District Court, Central District of California, Courtroom 840, 255 East Temple Street Los Angeles, California 90012-3332, Plaintiff Paul Mankin ("Plaintiff") will move this Court for an order granting Plaintiff's Motion for Attorneys' Fees and Costs and Class Representative Incentive Payment.

This Motion is based upon this Notice, the accompanying Memorandum of Points and Authorities, the declarations and exhibits thereto, the Complaint, all other pleadings and papers on file in this action, and upon such other evidence and arguments as may be presented at the hearing on this matter.

Dated: December 15, 2014 Respectfully submitted,

By: /s/ John P. Kristensen

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David L. Weisberg (SBN 211675)
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Fax: (310) 507-7906

1 **CERTIFICATION OF COMPLIANCE WITH LOCAL RULE 7-3** Plaintiff's counsel certifies that prior to filing the instant motion, the 2 parties, through counsel, met and conferred pertaining to the contents of the 3 instant motion. Defendant does not oppose Plaintiff's motion. 4 5 Dated: December 15, 2014 Respectfully submitted, 6 /s/ John P. Kristensen By: 7 8 John P. Kristensen (SBN 224132) john@kristensenlaw.com 9 David L. Weisberg (SBN 211675) 10 david@kristensenlaw.com KRISTENSEN WEISBERG, LLP 11 2304 Santa Monica Blvd., Suite 100 12304 Santa Monica Blvd., Suite 100 Los Angeles, California 9002? 12 Los Angeles, California 90025 Kristensen Weisberg, LLP Telephone: (310) 507-7924 13 Fax: (310) 507-7906 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28

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NOTICE OF AND PLAINTIFF'S MOTION FOR ATTORNEYS' FEES AND COSTS AND

Kristensen Weisberg, II.P 12304 Santa Monica Blvd., Suite 100 Los Angeles, California 90025

MEMORANDUM OF POINTS & AUTHORITIES

Plaintiff Paul Mankin (hereinafter referred to as "Plaintiff", "Mankin" or "Class Representative"), submits this memorandum in support of his unopposed Motion for Attorneys' Fees and Costs and Class Representative Incentive Payment. Defendant does not oppose this motion.

I. <u>Introduction</u>

Class Counsel for plaintiff Paul Mankin seeks approval of payment of attorneys' fees and costs in the aggregate amount of \$373,750. As discussed in the Motion for Preliminary Approval of Class Action Settlement, the parties voluntarily agreed upon this payment during the course of mediation and the follow up negotiations that amicably resolved the current dispute. (Dkt. No 24 ("Preliminary Approval Motion"), pp: 4:22-5:14.) In addition, Plaintiff's counsel also seeks an incentive payment of \$1,500 to be paid to Mankin as the Class Representative for his efforts in both bringing and helping to prosecute this matter.

Plaintiff brought this action arising from unsolicited telephone calls to Plaintiff's cellular phone call by means of an "automatic telephone dialing system" and/or using an "artificial or prerecorded voice" in violation of the Telephone Consumer Protection Act, 47 U.S.C. § 227 et seq., ("TCPA"). The parties were able to resolve the dispute early during litigation, with the assistance of a respected third-party neutral, Hon. Carl J. West [Ret.]. Subsequently on September 16, 2014, this Court granted Preliminary Approval. (Dkt. No. 29). Pursuant to the Preliminary Approval Order, the Court, among other things: (i) preliminarily certified (for settlement purposes only) a class of plaintiffs (hereinafter referred to as the "Class Members") with respect to the claims asserted in the Action; (ii) preliminarily approved the proposed settlement; (iii) appointed Plaintiff Paul Mankin as the Class Representative; (iv) appointed John Kristensen and David Weisberg of Kristensen Weisberg, LLP as Class Counsel; and (v) set the date and

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time of the Final Approval Hearing for January 12, 2015, at 1:30 P.M. (Dkt. No. 29).

To date, there have been no objections to the Class Settlement or the attorneys' fees and costs reimbursement request by Plaintiff.

By this Motion, and as detailed in the supporting declaration filed herewith, Plaintiff's counsel seeks an award of attorneys' fees and costs.

II. PROCEEDINGS TO DATE

On or about September 3, 2013, a putative class action Complaint was filed against defendant Mountain West Research Center, L.C. (hereinafter referred to as "MWRC" or "Defendant") in this Court, commencing civil litigation, entitled Paul Mankin v. Mountain West Research Center, L.C., Case No. CV13-06447 asserting claims under the TCPA. Based on those allegations, Plaintiff sought \$500 per negligent violation, \$1,500 for each intentional violation, and injunctive relief. Plaintiff's claims were brought on behalf of a class of individuals who allegedly received telephone calls to their mobile phones from MWRC without consent using an automatic telephone dialing system or artificial or prerecorded voice technology. (Dkt. No. 1.)

On October 7, 2013, Kristensen Weisberg, LLP substituted as counsel of record for Plaintiff. The Parties attended mediation with the Hon. Carl J. West, Ret. of JAMS on December 4, 2013. The Parties did not resolve the case at the mediation on December 4, 2013, but engaged in numerous subsequent discussions through e-mails and telephone discussions with Judge West.

Class Counsel has been intimately involved in every aspect of this case and analyzed and reviewed all activity in connection with this matter. Prior to approving the Settlement, Class Counsel commenced confirmatory discovery to ensure that the class was being protected.

On July 28, 2014, Plaintiff filed a Motion for Preliminary Approval of Class Settlement and Certification of Settlement Class. (Dkt. No. 24.) After the

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- hearing, on September 16, 2014, this Court issued an Order Preliminary
- Approving Class Settlement and Certifying a Settlement Class. (Dkt. No. 29.)
- Currently pending before this Court is Plaintiff's request for attorneys' fees and costs, and an incentive award for Plaintiff.

III. **BACKGROUND**

The parties settled this matter early in litigation. However, the parties were well informed of the factual and legal challenges each side faced in protracted litigation. The parties determined that an expeditious class-wide resolution of the parties' disputes would benefit all parties and the putative class members. In this regard, the parties participated in extensive settlement discussions even after a full-day mediation with Judge Carl J. West. As a result of these continuous efforts, the current settlement provides a substantial financial benefit to each and every class member.

The compromised settlement reached with the guidance of Judge West will create a fund to be established by Defendant in the maximum amount of \$1,495,000 for payment to Class Members ("Settlement Fund"), payment of an incentive award to Plaintiff for brining and litigating this action, and for attorneys' fees and costs incurred by Class Counsel, as well as for administration of the claims. In consideration of this Settlement Fund, Plaintiff, on behalf of the class, will dismiss this action and unconditionally release and discharge Defendant from all claims relating to the action.

Based upon this substantial settlement, Class Counsel respectfully moves this Court for an award of attorneys' fees and reimbursement of costs form the Settlement Fund in the total amount of \$373,250, which is 25% of the common fund created for the class. In common fund cases such as the current matter, the Ninth Circuit's benchmark for attorneys' fee is 25% of the fund created for the benefit of the Class, plus recovery of costs. See Vizcaino v. Microsoft Corp., 290 F.3d 1043, 1049 (9th Cir. 2002) ("Vizcaino").

In the instant matter, Class Counsel have incurred 182.1 hours in attorney time and their billable rate of \$500 an hour are reasonable and within the hourly rates charged for the same services in the community. Class Counsel also incurred \$3,579.50 in costs litigating this matter. See Declaration of John P. Kristensen in Support of Plaintiff's Motion for Attorneys' Fees and Costs and Class Representative Incentive Award. ("Kristensen Decl.), ¶¶ 18-19, Ex. 1. The hours per partner are below:

	Hours	Rate/Hr.	Total	
Hours incurred by Partner: John P. Kristensen	104.6	\$500	\$52,300	
Hours incurred by Partner: David L. Weisberg	77.5	\$500	\$38,750	
Total Combined Lodestar	182.1		\$91,050	

Id.

Here, Class Counsel seeks attorneys' fees and costs within the Ninth Circuit's benchmark and well within the range commonly awarded in comparable cases. The request is also well supported by a lodestar plus multiplier cross-check, if the Court choses to perform such an optional cross-check. Said cross-check reveals that the fee amount request by Class Counsel would result in a multiplier of approximately four (4), which is well within the range for such

[&]quot;Federal courts have consistently approved of attorney fee awards over the 25% benchmark." *In re Heritage Bond Litig.*, 2005 WL 1594403, at *18 (C.D. Cal. 2005). "The 25% [benchmark] is substantially below the average class fund fee nationally..." *Craft v. County of San Bernardino*, 2008 WL 916965, at *11 (C.D. Cal. 2008). Indeed, in "most common fund cases, the award exceeds the benchmark." *Young v. Polo Retail, LLC*, 2007 U.S. LEXIS 27269, at *22 (N.D. Cal. 2007) (awarding 31%); *see also Barcia v. Contain-A-Way, Inc.*, 2009 U.S. Dist. LEXIS 17118, at *15 (S.D. Cal. 2009) ("[t]he requested fee falls at the bottom end of the Ninth Circuit's benchmark of 25% to 40% of a common fund...").

multipliers established by the Ninth Circuit. *Id.* at 1051; and, fn. 6. Furthermore, the hours were not inflated and a result of make work. Class Counsel was efficient and Courts have stressed that early settlements should be incentivized as long as they are fair and reasonable. *In re M.D.C. Holdings Sec. Litig.*, 1990 U.S. Dist. LEXIS 15488, at *21, 32 (S.D. Cal. Aug. 30, 1990) (awarding 30% fee where settlement obtained "in a very short amount of time" and finding that class counsel should be rewarded, not penalized, for achieving early success on behalf of the class). If parties were not incentivized, the inevitable result would be additional litigation and wasted Court time.

In light of the strong result, and for the reasons discussed below, Class Counsel respectfully request the Court grant Class Counsel's fee request and cost reimbursement in full, and approve the incentive award for Plaintiff.

A. THE SETTLEMENT REPRESENTS AN OUTSTANDING RESULT FOR THE CLASS

The Settlement Agreement provides that Defendant will pay \$1,495,000.00 into a non-reversionary Common Benefit Fund. See Kristensen Decl., ¶ 8.

Only a single class member decided to opt-out. Not a single objection was received at the time of this filing, December 15, 2014, a week after the December 8, 2014 deadline to object. See Kristensen Decl., ¶ 9.

This is not a coupon settlement. The Defendant is not provided an unwanted product to the class members at an illusory inflated price. The class members will receive payments in excess of \$1,000,000. Any amount not cashed within six weeks will go to the *cy pres* recipient, National Consumer Law Center (NCLC) which, per the Order of this Court, must allocate the funds to privacy or telemarketing programs and not their affiliated, but unrelated anti-poverty program.

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B. CLASS COUNSEL UNDERTOOK CONSIDERABLE RISK IN PROSECUTING THIS ACTION

Class Counsel litigated this matter on a pure contingency-fee basis while expending considerable amount of time and incurring costs potentially without any monetary gain in the event of an adverse judgment.² Moreover, this action was especially risky given the various defenses potentially available to Defendant. Namely, class members face the factual defense of whether prior express consent was provided, which the defense argues requires an individualized inquiry. There was also the risk of this Court denying class certification based on a lack of ascertainability. Furthermore, Defendant was prepared to advocate heavily that polling surveys are protected political speech under the First Amendment that would trump the time, place and manner restrictions imposed by the TCPA.

Additionally, other Courts view award of aggregate, statutory damages with skepticism and reduce such awards – even after a plaintiff prevailed on the merits – on due process grounds. *See, e.g., Parker v. Time Warner Entm't Co., L.P.*, 331 F.3d 122, 22 (2d Cir. 2003) ("[T]he potential for devastatingly large damages awards, out of all reasonable proportion to the actual harm suffered by members of the Plaintiffs class, may raise due process issues"); *In re Trans Union Corp. Privacy Litig.*, 211 F.R.D. 328, 350-351 (N.D. Ill 2002) (declining to certify a class where it "could result in statutory damages of over \$19 billion, which is grossly disproportionate to any actual damage").

Finally, there is the risk of losing a jury trial, and even if Plaintiff did prevail, any recovery could be delayed for years by an appeal. Despite these numerous issues the settlement provides a substantial benefit to class members

[&]quot;Reasonable costs and expenses incurred by an attorney who creates or preserves a common fund are reimbursed proportionately by those class members who benefit from the settlement." *In re Media Vision Tech. Sec. Litig.*, 913 F. Supp. 1362, 1366 (N.D. Cal. 1996) (citing *Mills v. Electric Auto-Lite Co.*, 396 U.S. 375, 391-392, 90 S. Ct. 616, 24 L. Ed. 2d 593 (1970)).

Kristensen Weisberg, LLP 12304 Santa Monica Blvd, Suite 100 Los Angeles, California 90025 without further delay.

IV. CLASS ACTIONS ARE IMPORTANT IN OUR JUSTICE SYSTEM

"Class actions serve an important function in our system of civil justice," Gulf Oil Co. v. Bernard, 452 U.S. 89, 99, 101 S. Ct. 2193, 68 L. Ed. 2d 693 (1981), as they reduce litigation costs and promote greater efficiency, Valentino v. Carter-Wallace, Inc., 97 F.3d 1227, 1234 (9th Cir. 1996), as well as "provide aggrieved persons a remedy when individual litigation is economically unrealistic," such as in this case where the maximum recovery per negligent violation is only \$500 (47 U.S.C. § 227(c)(5)).

"Probably to a unique degree, American law relies upon private litigants to enforce substantive provisions of law that in other legal systems are left largely to the discretion of public enforcement agencies." John C. Coffee, Jr., Understanding the Plaintiff's Attorney: The Implication of Economic Theory for Private Enforcement of Law Through Class and Derivative Actions, 86 Colum. L. Rev. 669, 669 (1986). "In class actions, lawyers have been called 'private attorneys general,' a term that links the class lawyer to the state's or the 'people's' lawyer."

The prospect of these often large fees creates an incentive for plaintiff attorneys to file lawsuits on behalf of proposed class members. In fact, these incentives have created a cottage industry for lawyers and plaintiffs who compete to fulfill this 'private attorney general' function. These lawsuits can both compensate deserving plaintiffs and deter illegal conduct. Conventionally, compensation is considered a private goal, and deterrence a public goal, but in reality, actions by both

Thomas D. Rowe, Jr., State and Foreign Class-Actions Rules and Statutes:

Differences from - and Lessons for? - Federal Rule 23 (2007) 35 W. St. U. L.

Rev. 147, 155 (quoting 5 James Wm. Moore et al., Moore's Fed. Prac. § 23.03 at 23-35)).

⁴ Judith Resnik, Dennis E. Curtis & Deborah R. Hensler, *Individuals Within The Aggregate: Relationships, Representation, And Fees*, 71 N.Y.U. L.Rev. 296, 320 (1996).

Kristensen Weisberg, LLP 12304 Santa Monica Blvd,, Suite 100 Los Angeles, California 90025 private and public attorneys can lead to both compensation and deterrence.

Carl W. Hittinger & Jarod M. Bona, *The Diminishing Role of the Private Attorney General in Antitrust and Securities Class Action Cases Aided by the Supreme Court*, 4 J. Bus. & Tech. L. 167, 169 (2009) (emphasis added). "Unless class counsel are adequately compensated, class and derivative litigation will be undersupplied in the legal market." Without adequate compensation to class action attorneys in TCPA cases such as this one, millions of consumers would likely go uncompensated for violations of their statutory rights, especially since "consumers find that using the TCPA's private right of action is very complex and time-consuming." *Mainstream Mktg. Servs. v. FTC*, 284 F. Supp. 2d 1266, 1273 (D. Colo. 2003) (citing 68 Fed. Reg. at 4629 (2003)).

V. ARGUMENT

Class Counsel asserts that (A) the requested fee award is fair, reasonable, and justified; (B) the payment of costs is fair and reasonable; and (C) the named Plaintiff's incentive award is reasonable.

A. THE REQUEST FEE AWARD IS FAIR, REASONABLE, AND JUSTIFIED.

Class Counsel seek a total award of attorneys' fees and reimbursement of costs of \$373,750 or 25% of the Settlement fund of \$1,495,000.00 (Class Counsel incurred \$3,579.50 in costs litigating the matter).⁶ As explained by the Ninth Circuit, "[a]ttorneys' fees provisions included in proposed class action settlement agreements are, like every other aspect of such agreements, subject to the

⁵ Theodore Eisenberg & Geoffrey P. Miller, Attorneys' Fees and Expenses in Class Action Settlements: 1993-2008, Cornell Law Faculty Working Paper, Paper 64, p. 2 (2009).

Courts regularly award 25% fee requests in consumer class actions. See Lewis v. Starbucks Corp., 2008 U.S. Dist. LEXIS 83192, 2008 WL 416690 (E.D. Cal. September 11, 2008) (approving 25% fee request); and Woo v. The Home Loan Group, 2008 U.S. Dist. LEXIS 65144, 2008 WL 3925854 (S.D. Cal. 2008) (same).

NOTICE OF AND PLAINTIFF'S MOTION FOR ATTORNEYS' FEES AND COSTS AND CLASS REPRESENTATIVE INCENTIVE PAYMENT

determination whether the settlement is 'fundamentally fair, adequate, and reasonable.'" Staton v. Boeing Co., 327 F.3d 938, 963 (9th Cir. 2003) ("Staton") (quoting Fed. R. Civ. P. 23(e)). The "common fund" doctrine applies where, as here, a litigation results in the recovery of a certain and calculable fund on behalf of a group of beneficiaries. Id. The Ninth Circuit and other federal courts have long recognized that when counsel's efforts result in the creation of a common fund that benefits unnamed class members, counsel have an equitable right to be compensated from that fund for counsel's efforts in creating said fund. See Boeing Co. v. Van Gemert, 444 U.S. 472, 478 (1980) (noting that the "lawyer who recovers a common fund...is entitled to a reasonable attorney's fee from the fund as a whole"); Staton, 327 F.3d at 967; In re Washington Pub. Power Supply System Sec. Litig., 19 F.3d 1291, 1300 (9th Cir. 1994) ("[T]hose who benefit in the creation of a fund should share the wealth with the lawyers whose skill and effort helped create it.")

Where counsel for a class seeks fees based on a percentage of the total settlement value, courts most commonly use one of two methods to determine whether the request is reasonable: "percentage-of-the-fund" or "lodestar/multiplier." *Staton*, 327 F.3d at 963-64; *Vizcaino*, 290 F.3d at 1048-49; *Hanlon v. Chrysler Corp.*, 150 F.3d 1011, 1029 (9th Cir. 1998) ("*Hanlon*"). However, it is clear that courts prefer a "percentage-of-the-fund" model over a "lodestar-multiplier" approach in cases where it is possible to ascertain the value of the settlement. *See In Re Activision Securities Litigation*, 723 F. Supp. 1373, 1378-1379 (N.D. Cal. 1989) (holding that the percentage method is preferred over the lodestar method and finding it encourages efficiencies and early resolution);

The 25% fee request is reasonable in this matter. See, e.g., Morris v. Lifescan, Inc., 54 Fed. Appx (9th Cir. 2003) (approving award of 33% of settlement fund); and Vasquez v. Coast Roofing, 2010 U.S. Dist. LEXIS 21159 (E.D. Cal. 2010) (same).

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see also In re Bluetooth Headset Products Liability Litigation, 654 F.3d 935, 942 (9th Cir. 2011) ("Because the benefit to the class is easily quantified in commonfund settlements, we have allowed courts to award attorneys a percentage of the common fund in lieu of the often more time-consuming task of calculating the lodestar."); In re Omnivision Techs, Inc., 559 F. Supp. 2d 1036, 1046 (N.D. Cal. 2008) ("Omnivision") ("[U]se of the percentage method in common fund cases appears to be dominant.") "Though courts have discretion to choose which calculation method they use, their discretion must be exercised as to achieve a reasonable result." In re Bluetooth Headset Products Liability Litigation, 654 F.3d at 942

An empirical study, based on 18 years of published opinions on settlements in 689 common fund class action and shareholder derivative settlements in both state and federal courts found that: (1) 83 percent of cases employed the percentage-of the-recovery method, and (2) the number of courts employing the lodestar method has declined over time, from 13.6 percent during 1993-2002 to 9.6 percent from 2003 to 2008. Theodore Eisenberg & Geoffrey P. Miller, Attorneys' Fees and Expenses in Class Action Settlements: 1993-2008, 7 Journal of Empirical Legal Studies 248, at 267-269 (2010). Accord, Brian T. Fitzpatrick, An Empirical Study of Class Action Settlements and their Fee Awards, 7 Journal of Empirical Legal Studies, 2010 at 25 (finding, in a similar empirical study of 688 settlements approved by federal district courts during 2006 and 2007, nearly 69 percent of courts employed the percentage-of-thesettlement method, nearly 12 percent employed the lodestar method, and 20 percent did not report which method they used).

Significantly, California courts have awarded 25% or more of the settlement fund as attorneys' fees on numerous occasions. See Graham et al. v. Overland Solutions, Inc., Case No. 10-CV-00672-BEN-BLM (S.D. Cal. Jan. 30, 2013) (30%) in attorney's fees); Boyce v. Sports and Fitness, Case No. 03-CV-2140-BEN

(BLM) (S.D. Cal. Jan. 24, 2006 (29% in attorneys' fees); *Adams v. AllianceOne*, Case No. 08-CV-0248-JAH (S.D. Cal. Sept. 28, 2012) (awarding \$2.7 million in attorneys' fees in a TCPA class action, representing 30% of the settlement fund); *In re SeraCare Life Sciences, Inc. Securities Litigation*, No. 05-CV-02335-JLS-CAB (S.D. Cal. Sept. 24, 2008) (awarding \$4,600,000 in attorneys' fees, representing 25% of the settlement fund); *Takas et al. v. A.G. Edwards & Sons, Inc.*, No. 04-CV-1852-JAH (NLS) (S.D. Cal. Nov. 5, 2007) (award of attorneys' fees in a TCPA class action in the amount of \$6 million, representing 28.17% of the settlement fund); *Gutierrez v. Barclays Group*, No. 10-CV-01012-DMS-BGS (S.D. Cal. March 12, 2012) (awarding attorneys' fees in a TCPA class action in the amount of \$1,580,000, representing the 25% benchmark); *see also Langille v. EMC Corporation, Inc.*, No. 07-CV-0651 (S.D. Cal. July 9, 2008 (awarding 25% percent of settlement fund).

Here, Class Counsel's request for fees is reasonable under—and warrants application of—the percentage-of-the-fund analysis. The reasonableness of Class Counsel's fee award request is confirmed by a lodestar plus multiplier cross-check, which courts in the Ninth Circuit may choose to employ at their discretion.

1. The Request Fee is Presumptively Reasonable Because It Resulted from Arm's Length Negotiations.

As the United States Supreme Court has explained: "A request for attorneys' fees should not result in a second major litigation. Ideally, of course, litigants will settle the amount of a fee." *Hensley v. Eckerhart*, 461 U.S. 424, 437 (1983). While the Court must perform its own evaluation to verify that the requested fees are reasonable and not the product of collusion, it should give weight to the judgment of the parties and their counsel where, as here, the fees were agreed to at arm's length negotiations after the parties agreed on the key deal terms. *See, e.g. In re Apple Computer, Inc. Derivative Litig.*, 2008 U.S. Dist. LEXIS 108195, at *12 (N.D. Cal. Nov. 5, 2008). Here, Class Counsel negotiated

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with Defendant to reach an agreed-upon fee amount that was deemed reasonable based upon the benefits achieved for the class and applicable legal principles, and did so only after the Parties reached an agreement on the other key terms. Further, the fee amount, like the settlement itself, was agreed upon under the auspices and with the assistance of an experienced, well respected former judge and mediator, the Honorable Carl J. West (Ret.). This fact serves as "independent confirmation that the fee was not the result of collusion or a sacrifice of the interests of the class." *Hanlon*, 150 F.3d at 1029. Under these circumstances, the Court may give weight to the judgment of the parties and their counsel regarding reasonable fees.

2. The Court Should Apply the Percentage-of-the Fund Method.

The most fair and efficient way to calculate a reasonable fee where, as here, contingency-fee litigation has produced a common fund is by awarding class counsel a percentage of the total fund. 4 Herbert B. Newberg, *Newberg on Class Actions* § 14:6 (4th ed. 2002 and Supp. 2004) ("*Newberg*"). There is a logical and

Milliron v. T-Mobile USA, Inc., 2009 WL 3345762, at *5 (D.N.J. Sept. 14, 2009) (approving a settlement after a one-day mediation before a retired federal judge and noting that "the participation of an independent mediator in settlement negotiation virtually insures that the negotiations were conducted at arm's length and without collusion between the parties"); Sandoval v. Tharaldson Emp. Mgmt., Inc., 2010 WL 2486346, at *6 (C.D. Cal. June 15, 2010) (approving settlement after a one-day mediation and noting that "the assistance of an experienced mediator in the settlement process confirms that the settlement is non-collusive (emphasis added)"; Larson v. Sprint Nextel Corp., 2010 WL 239934, at *11 (D.N.J. Jan. 15, 2010) (same); Bert v. AK Steel Corp., 2008 WL 4693747, at *2 (S.D. Ohio Oct. 23, 2008); 2 McLaughlin on Class Actions § 6:7 (8th ed) ("A settlement reached after a supervised mediation receives a presumption of reasonableness and the absence of collusion (emphasis added)."); and, Dennis v. Kellogg Co., 2010 WL 4285011, at *4 (S.D. Cal. Oct. 14, 2010) (the parties engaged in a "fullday mediation session," thus establishing that the proposed settlement was noncollusive (emphasis added).").

Kristensen Weisberg, ILP 12304 Santa Monica Blvd., Suite 100 Los Angeles, California 90025 important policy reason behind choosing to apply the percentage-of-the-fund method, rather than the lodestar method, in common fund cases:

"Unlike the lodestar method which can encourage class counsel to devote unnecessary hours to generate a substantial fee, under the [percentage-of-the-fund] method, the more the attorney succeeds in recovering money for the client, and the fewer legal hours expended to reach that result, the higher dollar amount of fee the lawyer earns. Thus, one of the primary advantages of the [percentage-of-the-fund] method is that it is thought to equate the interests of class counsel with those of the class members and encourage class counsel to prosecute the case in an efficient manner."

Id.

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The percentage-of-the-fund method comports with the legal marketplace, where counsel's success is frequently measured in terms of the result counsel has achieved. See Swedish Hosp. Corp. v. Shalala, 1 F.3d 1261, 1269 (D.C. Cir. 1993) (in common fund cases "the monetary amount of the victory is often the true measure of [counsel's] success"). By assessing the amount of the fee in terms of the amount of the benefit conferred on the class, the percentage method "more accurately reflects the economics of litigation practice" which "given the uncertainties and hazards of litigation, must necessarily be result-oriented." *Id.* Moreover, it most efficiently aligns the incentives of the class members and their counsel and thus encourages counsel to spend their time efficiently, and to focus on maximizing the relief available to the class, rather than their own lodestar hours. Vizcaino, 290 F.3d at 1050 fn. 5; In re Activision Sec. Litig., 723 F. Supp. 1373,1375 (N.D. Cal. 1989). Finally, it comports with the established practice in the private legal market to reward attorneys for taking the risk of nonpayment by paying said attorneys a premium for successfully resolving contingency-fee cases. See In re Washington, 19 F.3d at 1299; see Newberg § 14:6. "[I]f this 'bonus' methodology did not exist, very few lawyers could take on the representation of

the class client given the investment of substantial time, effort, and money, especially in light of the risks of recovering nothing." *In re Washington*, 19 F.3d at 1300 (quoting *Behrens v. Wometco Enter., Inc.*, 118 F.R.D. 534, 548 (S.D. Cal. 1988) aff'd, 899 F.3d 21 (11th Cir. 1990)).

For these reasons, the percentage-of-the-fund method is applied more frequently than the lodestar-plus-multiplier method in common fund cases in the Ninth Circuit. "[U]se of the percentage method in common fund cases appears to be **dominant**." *Omnivision*, 559 F. Supp. 2d at1046 (emphasis added).

Thus, Class Counsel requests that the Court use the standard percentage-ofthe-fund approach to determining the award of attorneys' fees in this action.

3. <u>Class Counsel's Fee Request is Warranted under the Percentage-of-the Fund Method.</u>

Class Counsel's request for attorneys' fees and costs in a total amount of \$373,750 or approximately 25% of the Settlement fund of \$1,495,000.00 common fund—is fair and reasonable under the circumstances of this case. In the Ninth Circuit the "benchmark" percentage is 25% of the common fund with costs and expenses awarded on top of this amount. Moreover, courts in this circuit often award a percentage of the fund that is greater than the 25% benchmark.

Omnivision, 559 F. Supp. 2d at 1047 ("[I]n most common fund cases, the award exceeds th[e] benchmark."); see also Vizcaino, 290 F.3d at 1048-1050 (awarding 28%); Garner v. State Farm, 2010 U.S. Dist. LEXIS 49482, at *5-6 (N.D. Cal. Apr. 22, 2010 (awarding 30%); Knight v. Red Door Salons, Inc., 2009 U.S. Dist. LEXIS 1149, at*17 (N.D. Cal. Feb. 2, 2009 (same). Based on this authority, Class Counsel's request for the benchmark award is conservative and justifiable.

See also In re Mego, 213 F.3d at 457, 463 (9thCir. 2000) (affirming fee award of one-third of common fund); In re Heritage Bond Litig. v. U.S. Trust Co. of Tex., N.A., 2005 U.S. Dist. LEXIS 13627, at *29 (C.D. Cal. June 10, 2005) (awarding one-third of fund); In re M.D.C. Holdings Sec. Litig., 1990 U.S. Dist. LEXIS 15488, at *21, 32 (S.D. Cal. Aug. 30, 1990) (awarding 30% fee

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Courts consider a number of factors to determine the appropriate percentage to apply, including: (a) the results achieved; (b) the risk of litigation; (c) the skill required and the quality of work; (d) the contingent nature of the fee; and, (e) awards made in similar cases. *Vizcaino*, 290 F.3d at 1048-1050; *Omnivision*, 559 F. Supp. 2d at 1046. Plaintiff contends that all of these factors favor approval of the Plaintiff's 25% fee award requested here.

a. Class Counsel have obtained an excellent result.

The results obtained for the class are generally considered to be the most important factor in determining the appropriate fee award in a common fund case. See Hensley v. Eckerhart, 461 U.S. 424, 435 (1983); Omnivision, 559 F. Supp. 2d at 1046; see also Federal Judicial Center, Manual for Complex Litigation, § 27.71, p. 336 (4th Ed. 2004) (the "fundamental focus is on the result actually achieved for class members") (citing Fed. R. Civ. P. 23(h) committee note). Standing alone, this factor supports Class Counsel's fee request. As stated above, the settlement required Defendant to pay \$1,495,000.00 into a non-reversionary common fund. This settlement achieved by Class Counsel provides significant monetary relief for class members despite numerous decisions that have refused to certify such class actions. See Torres v. Nutrisystem, Inc., 289 F.R.D. 587 (2013). Furthermore, the fact that the Settlement Fund does not constitute the maximum measure of statutory damages potentially available to the Class does not merit deviation from the 25% benchmark. "The proposed settlement is not to be judged against a hypothetical or speculative measure of what might have been achieved by the negotiators" because "the very essence of a settlement is compromise, a yielding of absolutes and abandoning of highest hopes." Linney v. Cellular Alska

where settlement obtained "in a very short amount of time" and finding that class counsel should be rewarded, not penalized, for achieving early success on behalf of the class).

Partnership, 151 F.3d 1234, 1242 (9th Cir. 1998) ("Linney"); see also In re Mego Fin. Corp. Sec. Litig., 213 F.3d 454, 459 (9thCir. 2000) (settlement of only a fraction of potential recovery fair given nature of claims and facts of case). This is particularly true where, as here, the settlement was reached at arm's length through protracted negotiations by experienced counsel before an experienced mediator and former judge. Moreover, the parties were only able to resolve the dispute following extensive motion practice, factual investigation and discovery of the claims and issues and after taking into consideration the risks involved in the action. Thus, the requested 25% award is reasonable.

b. The risks of litigation support the requested fee.

"The risk that further litigation might result in Plaintiffs not recovering at all, particularly a case involving complicated legal issues, is a significant factor in the award of fees." *Omnivision*, 559 F. Supp. 2d at 1046-47; *see also Vizcaino*, 290 F.3d at 1048 (risk of dismissal or loss on class certification is relevant to evaluation of a requested fee).

Here, there was a realistic risk that the Court would refuse to certify the class based upon individualized issues of consent and/or individualized questions of whether the class was ascertainable. While Plaintiff believes the law is in his favor on this matter, Plaintiff is also aware of cases that reject this position, although on seemingly distinguishable grounds.

Moreover, there is the risk of losing a jury trial, and even if Plaintiff did prevail, any recovery could be delayed for years by an appeal. Despite these numerous issues the settlement provides a substantial benefit to class members without further delay which renders Class Counsel's fee award request reasonable.

c. The skilled required and quality of work performed support the requested fee.

The "prosecution and management of a complex class action requires

unique legal skills and abilities" that are to be considered when evaluating fees. *Omnivision*, 559 F. Supp. 2d at 1047. Class Counsel are experienced class action litigators who have successfully prosecuted complex consumer cases, and who have become particularly skilled and experienced in litigating privacy related class actions. *See Kristensen Decl.* ¶¶ 12-22. In addition, Class Counsel spent significant time thoroughly investigating the factual and legal claims involved in this Action, including during confirmatory discovery.

The hourly rates sought here are justified in this legal community as other plaintiff's counsel with similar experience are awarded fees at a higher rate, and rates charged by other firms are indeed higher than the rates charged here. *See Kristensen Decl.* ¶ 29, Ex. "2", the National Law Journal article dated December 10, 2007, with the results of their annual law firm billing survey. That survey indicates that most California law firms in the survey, or California offices of national law firms, have median billing rates for partners that clearly support the \$500 an hour sought here by Class Counsel. 10

Plaintiff submits the hourly rate of \$500 sought to be confirmed by Class Counsel on behalf of the Representative Plaintiff and the Class is reasonable and within the hourly rates charged for the same services within the community are entirely fair and reasonable given counsel's experience, qualifications and

In the 2007 time frame, partners at the California law firms billed out far in excess of \$495 per hour. For example, Luce, Forward, Hamilton & Scripps in San Diego billed out its partners at a median hourly rate of \$475, with a low of \$325 and a high of \$725 and associates at a low of \$220 and a high of \$450. (Page 7.) Loeb & Loeb in Los Angeles billed its partners at a median of \$600, with a low of \$425 and a high of \$875 and associates at a low of \$240 and a high of \$500. (Page 7.) Manatt, Phelps & Phillips in Los Angeles billed its partners at a median rate of \$590 per hour, with a low of \$520 and a high of \$785 and associates at a low of \$265 and a high of \$480. (Page 7.) Fenwick & West of the Silicon Valley in Mountain View, California billed its partners at a median rate of \$600 per hour, with a low of \$500 and a high of \$775 per hour and associates at a low of \$245 and a high of \$500. (Page 4.)

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Class Counsel's skill and expertise, reflected in the prompt and significant settlement, support the fee request.

d. The undertaking of this action on a contingency-fee basis supports the requested fee

The Ninth Circuit has long recognized that the public interest is served by rewarding attorneys who assume representation on a contingent basis to compensate them for the risk that they might be paid nothing at all for their work. In re Washington Pub. Power Supply Sys. Sec. Litig., 19 F.3d at 1299 ("Contingent fees that may far exceed the market value of the services if rendered on a non-contingent basis are accepted in the legal profession as a legitimate way of assuring competent representation for Plaintiffs who could not afford to pay on an hourly basis regardless of whether they win or lose"); Vizcaino, 290 F.3d at 1051 (courts reward successful class counsel in contingency cases "for taking risk of nonpayment by paying them a premium over their normal hourly rates"). Class Counsel prosecuted this matter on a purely contingent basis while agreeing to advance all necessary expenses and knowing that Class Counsel would only receive a fee if there was a recovery. In pursuit of this litigation, Class Counsel have spent considerable outlays of time and money by, among other things, (1) investigating the action; (2) conducting legal research relating to the alleged claims; (3) conducting discovery; (4) negotiating the settlement, including a full-day mediation; (5) assisting in the administration of the Settlement; and (6) responding to class members' inquiries.

Class Counsel expended these resources despite the risk that Class Counsel would never be compensated at all. Thus, Class Counsel's "substantial outlay, when there is a risk that none of it will be recovered, further supports the award of the requested fees" in this matter. *Omnivision*, 559 F. Supp. 2d at 1047.

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4. <u>A Lodestar-Plus Multiplier Cross-Check Supports The</u> Requested Fees.

A court applying the percentage-of-the-fund method may use the lodestar method as a "cross-check on the reasonableness of a percentage figure." *Vizcaino*, 290 F.3d at 1050. However, a cross-check is optional. *See Glass v. UBS Fin. Servs.*, 2007 U.S. Dist. LEXIS 8476, at *48 (N.D. Cal. Jan. 26, 2007) (finding that "where the early settlement resulted in a significant benefit to the class," there is no need "to conduct a lodestar cross-check"). If the Court chooses to perform such a cross-check in this matter, it will confirm that a 25% fee award is reasonable.

The first step in the lodestar-multiplier approach is to multiply the number of hours counsel reasonably expended by a reasonable hourly rate. *Hanlon*, 150 F.3d at 1029. Once this raw lodestar figure is determined, the Court may then adjust that figure based upon its consideration of many of the same "enhancement" factors considered in the percentage-of-the-fund analysis, such as: (1) the results obtained; (2) whether fee is fixed or contingent; (3) the complexity of the issues involved; (4) the preclusion of other employment due to acceptance of the case; and (5) the experience, reputation, and ability of the attorneys. *See Kerr v, Screen Extras Guild, Inc.*, 526 F.2d 67, 70 (9th Cir. 1975) ("*Kerr*"). 11

a. Class Counsel's lodestar is reasonable.

The accompanying declaration of Class Counsel set forth the hours of work and billing rates used to calculate their lodestar. As described in said declarations, Class Counsel and their staffs have devoted a total of 182.1 hours to this

The risk inherent in contingency representation is a critical factor. The Ninth Circuit stresses that "[i]t is an abuse of discretion to fail to apply a risk multiplier when...there is evidence that the case was risky." Fischel v. Equit. Life Assurance Soc'y, 307 F.3d 997, 1008 (9th Cir. 2002); see also Glass v. UBS Fin. Servs., Inc., 2007 WL 221862, at *16 (N.D. Cal. 2007).

litigation, and have a total lodestar to date of approximately \$91,050. See Kristensen Decl. ¶¶ 23-27, Exhibit "2". These amounts do not include the additional time that Class Counsel will spend going forward in implementing the settlement, including assisting class members with claims and overseeing claims administration generally, tasks that can require substantial additional hours not reflected in the multiplier calculated on the current lodestar.

Based upon the above, Class Counsel's lodestar is reasonable. Class Counsel prosecuted the claims at issue efficiently and effectively, making every effort to prevent the duplication of work that might have resulted from having multiple firms working on this case. Further, tasks were delegated appropriately among partners and other staff according to their complexity such that the attorneys with higher billing rates billed time only where necessary. In addition, Class Counsel's contemporaneous time records were carefully reviewed and duplicative work was deleted.

Similarly, Class Counsel's hourly rates are also reasonable. In assessing the reasonableness of an attorney's hourly rate, courts consider whether the claimed rate is "in line with those prevailing in the community for similar services by lawyers of reasonably comparable skill, experience and reputation." *Blum v. Stevenson*, 465 U.S. 886, 895, n.11 (1994). *See also Davis v. City and County of San Francisco*, 976 F.3d 1536, 1546 (9th Cir. 1992); and, *Serrano v. Unruh*, 32 Cal. 3d 621, 643 (1982). Class Counsel here are experienced, highly regarded members of the bar with extensive expertise in the area of class actions and complex litigation involving consumer claims like those at issue here.

b. A multiplier is warranted.

The benchmark 25% fee requested by Class Counsel reflects a multiplier of four (4). Of note, Courts regularly approve fee awards resulting in multipliers which are similar, and in many cases significantly higher, than the requested multiplier in this matter. *See, e.g., Vizcaino*, 290 F.3d at 1051 (affirming 28% fee

award where multiplier equaled 3.65; and, citing cases approving multipliers in common fund cases going as high as 19.6); *Steiner v. Am. Broad. Co.*, 248 Fed. Appx. 780, 783 (9th Cir. Cal. 2007) (upholding 25% fee award yielding multiplier of 6.85, finding that it "falls well within the range of multipliers that courts have allowed"); *Craft v. County of San Bernardino*, 624 F. Supp. 1113, 1125 (C.D. Cal. 2008) (approving 25% fee award yielding a multiplier of 5.2 and stating that "there is ample authority for such awards resulting in multipliers in this range or higher").¹²

Here, the exceptional monetary relief obtained for the Class; the risks involved with continued litigation; the contingent nature of the fee; the arm's-length nature of difficult and protracted negotiations; and the experience of Class Counsel in litigating privacy class actions, justify a significant multiplier. *See Kerr*, 526 F.3d at 70; *Washington Pub. Power*, 19 F.3d at 1299-1300 ("[C]ourts have routinely enhanced the lodestar to reflect the risk of non-payment in common fund cases" in accord with the "established practice in the private legal market of rewarding attorneys for taking the risk of nonpayment by paying them a premium over their normal hourly rates for winning contingency cases"). A lodestar-plus-multiplier cross-check therefore further supports the reasonableness of the requested 25% fee. In addition, multipliers are effective in encouraging effective counsel to take cases that lack the possibility of fee shifting. Here, there is no fee-shifting statute and no plaintiff would likely pay any attorney's hourly

See also Di Gacomo v. Plains All Am. Pipeline, 2001 U.S. Dist. LEXIS 25532, at *31 (S.D.Tex. Dec. 18, 2001) (awarding 30% of fund resulting in multiplier of 5.3); Craft, F. Supp. at 2dat 1123-1127 (awarding 25% of fund which amounted to 5.2 multiplier, in part because of the numerous drawbacks and disincentives associated with a pure lodestar approach; and, Lopez v. Youngblood, 2011 U.S. Dist. LEXIS 99289, at *10, 39-43 (citing Swedish Hosp., 1 F.3d at 1266-67 (awarding 28.5% of fund and finding that a lodestar cross-check is "not a useful reference point").

rate if the potential benefit is limited to a maximum of only \$1,500. Thus, it is unlikely that a consumer would be able to retain counsel to pursue this particular cause of action. See Pennsylvania v. Delaware Valley Citizens' Council for Clean Air, 483 U.S. 711, 717-719 (1987); and, Fadhl v. City and County of San Francisco, 859 F.2d 649 (9th Cir. 1986).

B. THE PAYMENT OF COSTS IS FAIR AND REASONABLE

"Reasonable costs and expenses incurred by an attorney who creates or preserves a common fund are reimbursed proportionately by those class members who benefit from the settlement." *In re Media Vision Tech. Sec. Litig.*, 913 F. Supp. 1362, 1366 (N.D. Cal. 1996) (citing *Mills v. Electric Auto-Lite Co.*, 396 U.S. 375, 391-392 (1970). The modest litigation expenses Class Counsel incurred in this case were necessary to secure the resolution of this litigation. *See In re Immune Response Sec. Litig.*, 497 F. Supp. 2d 1166, 1177-78 (S.D. Cal. 2007) (finding that costs such as filing fees, photocopy costs, travel expenses, postage, telephone and fax costs, computerized legal research fees, and mediation expenses are relevant and necessary expenses in class action litigation). Based upon the discussion herein, Class Counsel contend that the costs incurred in this matter are fair and reasonable.

Throughout the course of this litigation, Class Counsel had to incur substantial costs totaling \$3,579.50. See Kristensen Decl. ¶ 28, Ex. "1". As explained below, these costs were necessary to secure the resolution of this litigation; thus Class Counsel put forward said costs without assurance that Class Counsel would ever be repaid. Here, the class notice informed class members that Class Counsel would seek an award of costs, included with attorneys fees in the amount of \$373,250 for the expenses Class Counsel have had to incur to bring this case to its current settlement posture.

C. PLAINTIFF'S INCENTIVE AWARD IS REASONABLE

As the Ninth Circuit has recognized, "named Plaintiffs, as opposed to

designated class members who are not named Plaintiffs, are eligible for reasonable incentive payments." *Staton*, 327 F.3d at 977; *Rodriguez v. West Publishing Corp.*,563 F.3d 948, 958 (9th Cir. 2009) (service awards "are fairly typical in class action cases"). Such awards are intended to compensate class representatives for work done on behalf of the class [and] make up for financial or reputational risk undertaken in bringing the action." *Id.* Small incentive awards, such as those requested here, promote the public policy of encouraging individuals to undertake the responsibility of representative lawsuits. The requested modest service award of \$1,500 for Plaintiff is well justified. ¹³

In addition to lending his name to this matter, and thus subjecting himself to public attention, the named Plaintiff was actively engaged in this case from the start. Among other things, he (1) provided information to Class Counsel for the Complaints and other pleadings; (2) reviewed pleadings and other documents; (3) assisted in preparing discovery; (4) attended the mediation; (5) communicated on a regular basis with counsel and kept himself informed of progress in the litigation and settlement negotiations; (6) made himself available for mediation; and, (7) reviewed and approved the proposed settlement. Mankin's dedication to this action was notable, particularly given the relatively modest personal financial stakes in this case. See Van Vranken v. Atlantic Richfield Co., 901 F. Supp. 294,

Courts have long recognized that a class representative should be compensated for their service to the class. *In re Mego Fin. Corp. Sec. Lit.*, 2123 F.3d 454, 463 (9th Cir. 2000); *West v. Circle K Stores, Inc.*, 2006 U.S. Dist. LEXIS 76558, at *26 (E.D. Cal. 2006). Such compensation provides the economic motivation to induce potential plaintiffs to lend their names and support to class actions generally. *West*, 2006 U.S. Dist. LEXIS 76558, at *26. And the same incentive fees further ensure that meritorious actions are prosecuted to completion. *Linney v. Cellular Alaska Part.*, 1997 U.S. Dist. LEXIS 24300, at *23 (N.D. Cal. 1997). A court should order an incentive award when it finds that it is not the product of collusion and does not come at the expense of the remaining members of the class. *Louie v. Kaiser Found. Health Plan, Inc.*, 2008 U.S. Dist. LEXIS 78314, at *17-18 (S.D. Cal. 2008).

299 (N.D. Cal. 1995) (awarding \$100,000 incentive award in part on the ground 1 2 that, "[i]n exchange for his participation, Van Vraken will not receive great 3 personal benefit"). 4 Moreover, the amount is reasonable as compared to other class actions 5 awarding incentive payments. See Opson v. Hanesbrands Inc., 2009 U.S. Dist. 6 LEXIS 33900, at *27-28 (N.D. Cal. Apr. 3, 2009) (awarding \$5,000 incentive 7 payment and finding that "in general, courts have found that \$5,000 incentive 8 payments are reasonable"); Ordick v. UnionBancCal Corp., 2012 U.S. Dist. 9 LEXIS 171413, at *11 (N.D. Cal. Dec. 3, 2012 (awarding \$5,000 to named Plaintiffs where "the settlement was reached at the early stages of litigation"); 10 11 Fitzgerald v. City of Los Angeles, 2003 U.S. Dist. LEXIS 27382, at *9 (C.D. Cal. 12304 Santa Monica Blvd., Suite 100 Los Angeles, California 9002! 12 Dec. 8, 2003) (awarding \$3,500 each to class representatives in early settlement Kristensen Weisberg, LLP 13 case). 14 Here, the proposed incentive award of \$1,500 to Mankin is equal to a 15 single willful violation of the TCPA in an individual action had he been 16 successful. Thus, Class Counsel respectfully requests the Court to approve an 17 incentive award of \$1,500 to Mankin. 18 /// 19 /// 20 /// 21 /// 22 /// 23 /// /// 24 25 /// 26 /// 27 /// /// 28

CONCLUSION 1 VI. 2 For the foregoing reasons, Class Counsel respectfully request that the Court 3 grant Plaintiff's Motion for an award from the Settlement Fund of attorneys' fees and reimbursed costs in the total amount of \$373,750 and an incentive award in 4 the amount of \$1,500 to Mankin. 5 6 Dated: December 15, 2014 Respectfully submitted, 7 8 /s/ John P. Kristensen By: 9 John P. Kristensen (SBN 224132) 10 john@kristensenlaw.com David L. Weisberg (SBN 211675) 11 2304 Santa Monica Blvd., Suite 100 david@kristensenlaw.com 12 KRISTENSEN WEISBERG, LLP Los Angeles, California 9002 Kristensen Weisberg, LLF 12304 Santa Monica Blvd., Suite 100 13 Los Angeles, California 90025 14 Telephone: (310) 507-7924 Fax: (310) 507-7906 15 16 17 18 19 20 21 22 23 24 25 26 27 28

CERTIFICATE OF SERVICE

I am a citizen of the United States and employed in Los Angeles County, California. I am over the age of eighteen years and not a party to the withinentitled action. My business address is Kristensen Weisberg, LLP, 12304 Santa Monica Boulevard, Suite 100, Los Angeles, California 90025. The foregoing document was served via ECF on all parties and their attorneys of record in case number 2:13-CV-6447-DSF (AGRx) entitled PAUL MANKIN, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED V. MOUNTAIN WEST RESEARCH CENTER, L.C., ET AL.

I declare under penalty of perjury that the foregoing is true and correct.

Executed at Los Angeles, California on December 15, 2014.

/s/ Karina Pundeff
Karina Pundeff